

BAT KYC Supplemental form 1- QUESTIONNAIRE FOR POLITICALLY
EXPOSED PERSONS (Reg. 20, Financial Obligations Regulations 2010 as amended)

1. Are you the Chairman, Deputy Chairman, Secretary or Treasurer of a Political Party registered in accordance with the Representation of the People Act Ch 2:01 of Trinidad and Tobago?
(Yes____, No____)
2. Do you hold a position similar to the one described in question 1 above in another country?
(Yes____, No____)
3. Are you the Head of State or the Head of Government or a senior politician¹ or a senior government official² of Trinidad and Tobago or of another country? (Yes____, No____)
4. Are you a judicial or military official of Trinidad and Tobago or of another country? (Yes____, No____)
5. Are you a senior executive of a State-owned company/enterprise/corporation?³ (Yes____, No____)
6. Are you an officer, director, board member or hold such other prominent function or position as senior management in an international organization? (Yes____, No____)
7. Are you retired from or the former holder of any of the offices or positions described in nos. 1-6 of this form? (Yes____, No____) If yes, what is the position or office you formerly held and when did you cease to hold that position?
Position: _____
Date you demitted that office: _____
Signed by : _____
Witnessed by: _____
8. Are you a parent, sibling, child, spouse or child of the spouse of a person who is such a person as described under Questions 1-7 above? (Yes____, No____)
9. Are you a close personal or professional associate of a person who is such a person as described under Questions 1-7 above? (Yes____, No____) (Yes____, No____)⁴

Signed by: _____

Date: _____

Witnessed by: _____

¹ **Senior politician** means (a) a person elected to office in national, local or Tobago House of Assembly elections; or (b) a person appointed to serve as a Senator in the Parliament of Trinidad and Tobago, appointed to serve on the Tobago House of Assembly under the Tobago House of Assembly Act or selected to serve as an Alderman in a Municipality or Regional Corporation under the Municipal Corporations Act;

² **Senior government official** means a Permanent Secretary or any other person appointed as an Accounting Officer under the Exchequer and Audit Act or individual holding equivalent positions in a foreign country

³ **Senior executive** means (a) the chairman, deputy chairman, president or vice-president of the board of directors; (b) the managing director, general manager, comptroller, secretary or treasurer; or (c) any other person who performs for the body corporate functions similar to those normally performed by the holder of any office specified in paragraph (a) or (b) and who is duly appointed to perform those functions

⁴ A representative of BAT is also required to indicate whether the person before him/her is publicly or actually known to be a close personal or professional associate of a PEP

